



Federal Bar Association

Conflict of Interest Disclosure Questionnaire

The Federal Bar Association (FBA) is a nonprofit and 501 (c) 6 tax-exempt organization. The Board of Directors (the "Board") adopted a conflict of interest policy that requires FBA Leaders to disclose any potential or actual conflicts of interest that could result in his or her financial gain or personal advantage. The policy requires that each Leader complete an annual Conflict of Interest Disclosure Questionnaire.

If you answer "yes" to any of the questions, please describe the specific action or transaction. For purposes of full disclosure, the Questionnaire references certain "affiliated parties." These are defined as:

1. Family – Spouse, partner, child, parent(s), sibling(s);
2. Business – A business corporation in which you are an owner, officer, partner, key employee, debt holder or significant shareholder; and
3. Nonprofit Organization – Another nonprofit organization, trust or entity where you serve as a director, officer or employee.

NAME: _____

CAPACITY:

FINANCIAL INTERESTS

A conflict may exist where an interested party benefits, either directly or indirectly, as a result of a decision, policy or transaction made by the FBA during the past twelve (12) months.

- a. Have you or any 'affiliated party' provided services or property to the FBA for compensation?

YES _____

NO _____

If yes, what was nature of the services or property? If an 'affiliated party' is involved, please identify that person and your relationship.

- b. Have you or an 'affiliated party' been provided with a gift/favor in excess of \$100 from a person or entity that does business or seeks to do business with the FBA?

YES _____

NO _____

c. Have you or an 'affiliated party' been provided gratuitous use of FBA facilities, property or services?

YES _____

NO _____

d. Have you or an 'affiliated party' been in a position where you could benefit financially from an action or transaction made by the FBA?

YES _____

NO _____

OTHER INTERESTS

A conflict may also exist where an interested party has obtained a non-financial benefit or advantage that would be not otherwise available absent his or her relationship with the FBA, or where a fiduciary duty owed to the FBA has conflicted with a duty owed to another organization during the past twelve (12) months.

a. Did you use any confidential information obtained from the FBA for your own benefit or for the benefit of an 'affiliated party'?

YES _____

NO _____

b. Did you take advantage of an opportunity, or enable an 'affiliated party' to take advantage of an opportunity, that you had reason to believe would be of interest to the FBA?

YES _____

NO _____

c. Do you or an 'affiliated party' have an interest in any pending legal proceedings involving the FBA?

YES _____

NO _____

d. Are you aware of any other events, transactions, arrangements or other situations that have occurred during the past twelve (12) months, or may occur in the future, which you believe should be examined by the Board in accordance with the terms and intent of the FBA's conflict of interest policy? If so, please describe the situation.

YES _____

NO _____

ACKNOWLEDGMENT

I confirm that I have read and that I understand the FBA's conflict of interest policy and that my responses to the above questions are complete and correct to the best of my knowledge, information and belief. I agree that if I become aware of any information that might indicate that this disclosure is inaccurate or incomplete, I will promptly notify the President and the Board of Directors in writing.

Signature: _____

Date: _____