



# Federal Bar Association

The premier bar association serving the federal practitioner and the federal judiciary

**The Federal Bar Association's Securities Law Section  
and its Southern District of New York Chapter, in  
partnership with FTI Consulting, invite you to  
attend a panel in Washington and New York**

**"SEC Enforcement: Questions for the New  
Division Co-Director on What Lies Ahead"**

Join us to hear and interact with new SEC Enforcement Division Co-Director George Canellos on where the SEC's enforcement program may go in the months ahead and the challenges it will face. On April 22, 2013, new SEC Chair Mary Jo White appointed George Canellos and Andrew Ceresney as Co-Directors of the Enforcement Division, marking the first time that the SEC's largest and most visible division has had dual leadership. These appointments follow a period of reorientation and restructuring in the Division under its previous director, Rob Khuzami, that included the creation of five specialized units and multiple new initiatives. Mr. Canellos previously served as SEC New York Regional Director and as SEC Enforcement Deputy Director. This panel will provide an opportunity for panelists and videolinked audiences in Washington and New York to interact with the new Co-Director on issues of concern to the securities enforcement bar and clients, including possible changes in SEC enforcement focus areas and procedures.

**DATE:** Monday, June 17, 2013

**TIME:** Noon to 1:30 pm.

**RSVP:** [Denise.Mandley@fticonsulting.com](mailto:Denise.Mandley@fticonsulting.com) or 202.589.2339, specifying whether attending in Washington or New York (*pre-registration not required, but encouraged for planning purposes*)

**WASHINGTON LOCATION:** K&L Gates LLP, 1601 K Street NW, Washington DC (*Lunch provided in Washington by FTI Consulting*)

**NEW YORK LOCATION:** Gibson Dunn & Crutcher LLP, 200 Park Avenue (Met Life Building), New York NY (*Brown-bag*)

**COST:** \$10, collected at the door (*free for law students*)

**SPEAKERS:**

George S. Canellos, Co-Director of Enforcement, Securities and Exchange Commission

Peter H. Bresnan, FBA Securities Law Section Executive Council; Partner, Simpson Thacher & Bartlett LLP; former SEC Enforcement Deputy Director

Stephen J. Crimmins, FBA Securities Law Section Chairman; Partner, K&L Gates LLP; former SEC Enforcement Deputy Chief Litigation Counsel

Jason S. Flemmons, Senior Managing Director, FTI Consulting; former SEC Enforcement Deputy Chief Accountant

Walter G. Ricciardi, FBA Securities Law Section Executive Council; Partner, Paul Weiss Rifkind Wharton & Garrison LLP; former SEC Enforcement Deputy Director

Mark K. Schonfeld, Partner, Gibson Dunn & Crutcher LLP; former SEC New York Regional Director

[Panelists Canellos, Bresnan, Crimmins and Flemmons will present live from the Washington location. Panelists Ricciardi and Schonfeld will present live from the New York location.]

